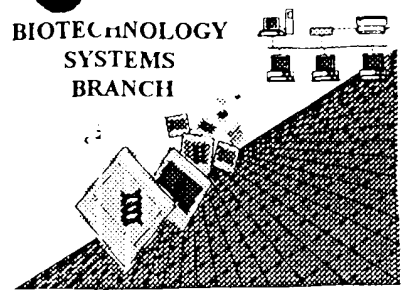


Handwritten: *Consider for*



BIOTECHNOLOGY
SYSTEMS
BRANCH



**RAW SEQUENCE LISTING
ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/576,310
Source: 1602
Date Processed by STIC: 7/25/2001

RECEIVED
SEP 26 2001
TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER VERSION 3.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>



OIPE

RAW SEQUENCE LISTING

DATE: 07/25/2001

PATENT APPLICATION: US/09/516,310

TIME: 13:04:49

Input Set : A:\W104276.txt

Output Set: N:\CRF3\07252001\I516310.raw

SEQUENCE LISTING

4 (1) GENERAL INFORMATION:

6 (i) APPLICANT: Lin, Yao-Zhong
 7 Hawiger, Jack J.

9 (ii) TITLE OF INVENTION: A NOVEL METHOD FOR IMPORTING
 10 BIOLOGICALLY ACTIVE MOLECULES INTO CELLS

12 (iii) NUMBER OF SEQUENCES: 11

C--> 14 (v) COMPUTER READABLE FORM:

15 (A) MEDIUM TYPE: Floppy disk
 16 (B) COMPUTER: IBM PC compatible
 17 (C) OPERATING SYSTEM: PC-DOS/MS-DOS
 18 (D) SOFTWARE: PatentIn Release #1.0, Version #1.25

20 (vi) CURRENT APPLICATION DATA:

21 (A) APPLICATION NUMBER: US/09/516,310
 22 (B) FILING DATE: 01-Mar-2000
 23 (C) CLASSIFICATION:

25 (viii) ATTORNEY/AGENT INFORMATION:

26 (A) NAME: Perryman, David G.
 27 (B) REGISTRATION NUMBER: 33,438
 28 (C) REFERENCE/DOCKET NUMBER: 22000.0021U2

30 (ix) TELECOMMUNICATION INFORMATION:

31 (A) TELEPHONE: 404/688-0770
 32 (B) TELEFAX: 404/688-9880

(vi) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE:
 (B) STREET:
 (C) CITY:
 (D) STATE:
 (E) COUNTRY:
 (F) ZIP:

Does Not Comply
 Corrected Diskette Needed

*insert
 these
 mandatory
 headings
 and responses
 for a U.S.
 case*

ERRORED SEQUENCES

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/516,310

DATE: 07/25/2001

TIME: 13:04:50

Input Set : A:\W104276.txt

Output Set: N:\CRF3\07252001\I516310.raw

L:14 M:220 C: Keyword misspelled or invalid format, [(v) COMPUTER READABLE FORM:]
L:21 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]
L:22 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]
L:0 M:200 E Mandatory Header Field missing, [(A) ADDRESSEE:] of (1)(iv)
L:0 M:200 E Mandatory Header Field missing, [(B) STREET:] of (1)(iv)
L:0 M:200 E Mandatory Header Field missing, [(C) CITY:] of (1)(iv)

Notice to Comply



Application No.

09/516,310

Examiner

Lisa J. Gansheroff

Applicant(s)

LIN ET AL.

Art Unit

1636

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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